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Patent

Attorney Docket No. MTI-31041-A

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

# 1 1/2 IDS  
7/16/13  
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In re Application of : Ping, Er-Xuan et al.  
Serial No. : 10/046,497  
Filing Date : October 26, 2001  
Confirmation No. : 8624  
For : Method for Forming Raised Structures by Controlled  
Selective Epitaxial Growth of Facet Using Spacer  
Group Art Unit : 2812

**CERTIFICATION UNDER 37 CFR 1.8(a) and 1.10**

I hereby certify that, on the date shown below, this correspondence is being:

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- deposited with the United States Postal Service in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231

37 CFR 1.8(a)

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37 CFR 1.10

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**Transmission**

transmitted by facsimile to Fax No.: addressed to Examiner at the Patent and Trademark Office.

Date: April 12, 2002

John L. Houk  
John L. Houk

Assistant Commissioner of Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Dear Sir:

In compliance with Applicants' duty of disclosure as set forth in 37 C.F.R. §1.56, listed on the attached equivalent to Form PTO-1449 are those patents, publications and other information known to the Applicants which may be considered material to the patentability of the claims of the above-captioned application.

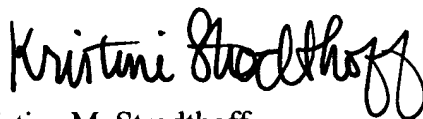
All references listed on the enclosed Form PTO-1449 were previously listed on Form PTO-1449 of U.S. Application Serial No. 09/816,962 which is the parent of the present application. Copies of these documents are not required to be provided because these documents were previously submitted to the U.S. PTO in one or more prior applications (37 CFR

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1.98(d)(1)). Consideration of all of these references is requested again due to the divisional status of this application. Copies of these references are in the file wrapper of the related application, but if these copies are not available to the Examiner, the Applicants will make additional copies available upon request.

The Applicants respectfully request that the documents listed on the attached equivalent to Form PTO-1449 be considered by the Examiner, that these references be made of record in the present application, and that an initialed copy of the attached equivalent to Form PTO-1449 be returned to the undersigned in accordance with MPEP 609.

Respectfully submitted,



Kristine M. Strodthoff  
Registration No. 34259

Dated: April 12, 2002

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